

**European Community Directive  
on the Conservation of Natural Habitats  
and of Wild Fauna and Flora  
(92/43/EEC)**

**Fourth Report by the United Kingdom  
under Article 17**

on the implementation of the Directive  
from January 2013 to December 2018

Conservation status assessment for the species:

**S1102 - Allis shad (*Alosa alosa*)**

**UNITED KINGDOM**

## **IMPORTANT NOTE - PLEASE READ**

- The information in this document represents the UK Report on the conservation status of this species, submitted to the European Commission as part of the 2019 UK Reporting under Article 17 of the EU Habitats Directive.
- It is based on supporting information provided by the geographically-relevant Statutory Nature Conservation Bodies, which is documented separately.
- The 2019 Article 17 UK Approach document provides details on how this supporting information contributed to the UK Report and the fields that were completed for each parameter.
- The reporting fields and options used are aligned to those set out in the European Commission guidance.
- Maps showing the distribution and range of the species are included (where available).
- Explanatory notes (where provided) are included at the end. These provide additional audit trail information to that included within the UK assessments. Further underpinning explanatory notes are available in the related country-level reports.
- Some of the reporting fields have been left blank because either: (i) there was insufficient information to complete the field; (ii) completion of the field was not obligatory; and/or (iii) the field was not relevant to this species (section 12 Natura 2000 coverage for Annex II species).
- The UK-level reporting information for all habitats and species is also available in spreadsheet format.

Visit the JNCC website, <https://jncc.gov.uk/article17>, for further information on UK Article 17 reporting.

# Report on the main results of the surveillance under Article 11 for Annex II, IV and V species (Annex B)

## NATIONAL LEVEL

### 1. General information

1.1 Member State	UK
1.2 Species code	1102
1.3 Species scientific name	<i>Alosa alosa</i>
1.4 Alternative species scientific name	
1.5 Common name (in national language)	Allis shad

### 2. Maps

2.1 Sensitive species	No
2.2 Year or period	1990-2018
2.3 Distribution map	Yes
2.4 Distribution map Method used	Based mainly on extrapolation from a limited amount of data
2.5 Additional maps	No

### 3. Information related to Annex V Species (Art. 14)

3.1 Is the species taken in the wild/exploited?	No																
3.2 Which of the measures in Art. 14 have been taken?	<table> <tr> <td>a) regulations regarding access to property</td><td>No</td></tr> <tr> <td>b) temporary or local prohibition of the taking of specimens in the wild and exploitation</td><td>No</td></tr> <tr> <td>c) regulation of the periods and/or methods of taking specimens</td><td>No</td></tr> <tr> <td>d) application of hunting and fishing rules which take account of the conservation of such populations</td><td>No</td></tr> <tr> <td>e) establishment of a system of licences for taking specimens or of quotas</td><td>No</td></tr> <tr> <td>f) regulation of the purchase, sale, offering for sale, keeping for sale or transport for sale of specimens</td><td>No</td></tr> <tr> <td>g) breeding in captivity of animal species as well as artificial propagation of plant species</td><td>No</td></tr> <tr> <td>h) other measures</td><td>No</td></tr> </table>	a) regulations regarding access to property	No	b) temporary or local prohibition of the taking of specimens in the wild and exploitation	No	c) regulation of the periods and/or methods of taking specimens	No	d) application of hunting and fishing rules which take account of the conservation of such populations	No	e) establishment of a system of licences for taking specimens or of quotas	No	f) regulation of the purchase, sale, offering for sale, keeping for sale or transport for sale of specimens	No	g) breeding in captivity of animal species as well as artificial propagation of plant species	No	h) other measures	No
a) regulations regarding access to property	No																
b) temporary or local prohibition of the taking of specimens in the wild and exploitation	No																
c) regulation of the periods and/or methods of taking specimens	No																
d) application of hunting and fishing rules which take account of the conservation of such populations	No																
e) establishment of a system of licences for taking specimens or of quotas	No																
f) regulation of the purchase, sale, offering for sale, keeping for sale or transport for sale of specimens	No																
g) breeding in captivity of animal species as well as artificial propagation of plant species	No																
h) other measures	No																

# Report on the main results of the surveillance under Article 11 for Annex II, IV and V species (Annex B)

3.3 Hunting bag or quantity taken in the wild for Mammals and Acipenseridae (Fish)

a) Unit

b) Statistics/ quantity taken	Provide statistics/quantity per hunting season or per year (where season is not used) over the reporting period					
	Season/ year 1	Season/ year 2	Season/ year 3	Season/ year 4	Season/ year 5	Season/ year 6
Min. (raw, ie. not rounded)						
Max. (raw, ie. not rounded)						
Unknown	No	No	No	No	No	No

3.4. Hunting bag or quantity taken in the wild Method used

3.5. Additional information

## BIOGEOGRAPHICAL LEVEL

### 4. Biogeographical and marine regions

4.1 Biogeographical or marine region where the species occurs

**Atlantic (ATL)**

4.2 Sources of information

England

Addy, S., Cooksley, S., Dodd, N., Waylen, K., Stockan, J., Byg, A. & Holstead, K. 2016. River restoration and biodiversity: Nature based solutions for restoring rivers in the UK and Republic of Ireland. CREW ref. CRW2014/10

Aprahamian, M.W., Lester, S.M. & Aprahamian, C.D. 1998. Shad Conservation in England and Wales. R&D technical report W110. Environment Agency

Aprahamian, M.W. and Aprahamian, C. D. 2001. The influence of water temperature and flow on year class strength of twaite shad (*Alosa fallax fallax*) from the River Severn, England. Bulletin Franeais de la Pzche et de la Pisciculture. 362/363: 953-972

Aprahamian, M.W. and Lester, S.M. 2001. Variation in the age at first spawning of female twaite shad (*Alosa fallax fallax*) from the River Severn, England. Bulletin Franeais de la Pzche et de la Pisciculture. 362/363: 941-951

Aprahamian, M. W., J.-L. Baglinicre, R. Sabatie, P. Alexandrino, and C. D. Aprahamian. 2002. *Alosa alosa* and *Alosa fallax* spp.: Literature Review and Bibliography. R&D Technical Report W1-014/TR. Environment Agency, Swindon

Aprahamian, M.W., Baglinicre, J. L., Sabatie, M. R., Alexandrino, P., Thiel, R. and Aprahamian, C. 2003. Biology, status and conservation of the anadromous twaite shad, *Alosa fallax fallax*. In: K. E. Limburg and J. R. Waldman (eds.) Biodiversity, status, and conservation of the world's shads. American Fisheries Society, Symposium 35, Bethesda, Maryland. Pp. 103-124.

Aprahamian, M. W., Aprahamian, C. D. and Knights, A.M. 2010. Climate change and the green energy paradox: the consequences for twaite shad *Alosa fallax* from the River Severn, U.K. Journal of Fish Biology 77:1912-1930.

Caswell, P. A., and M. W. Aprahamian. 2001. Use of River Habitat Survey to

# Report on the main results of the surveillance under Article 11 for Annex II, IV and V species (Annex B)

determine the spawning habitat characteristics of Twaite Shad (*Alosa fallax fallax*). Bulletin Francais de la Peche et de la Pisciculture 362/363:919-929.

Common Standards Monitoring Guidance for Freshwater Fauna 2015

Common Standards Monitoring Guidance for Rivers 2014

Cotterell, S.P. & Hillman, R.J. 2016. Monitoring of allis shad and smelt in Tamar estuaries. EC18234. Natural England Evidence Project Report RP02463, York.

Davies, C., Shelley, J., Harding, P., McLean, I., Gardiner, Ross & Peirson, G. 2004. Freshwater Fishes in Britain. The species and their distribution. Harley Books.

Environment Agency fish survey data held on the National Fish Populations Database.

<https://ea.sharefile.com/share/view/s5301a91e00c428a8>

Findlay, J.D.S. 2013. Impacts of signal crayfish on stream fishes. Durham theses, Durham University.

Findlay, J.D.S., Riley, W.D. & M.C. Lucas. 2014. Signal crayfish (*Pacifastacus leniusculus*) predation upon Atlantic salmon (*Salmo salar*) eggs. Aquatic Conservation: Marine and Freshwater Ecosystems. 25. 250-258.

Garrett, H. 2017. River Wye SAC Allis & Twaite shad population condition assessment. Reporting cycle 2013 to 2018. Natural Resources Wales. (Unpublished).

Guan, R-Z. & Wiles, P.R. 1996. Ecological Impact of Introduced Crayfish on Benthic Fishes in a British Lowland River. Conservation Biology, 11

Habitats Regulations Assessment: Site Report for Hinkley Point. EN-6: Revised Draft National Policy Statement for Nuclear Power Generation. 2010. Department of Energy and Climate Change.

Hatton-Ellis, T., Aprahamian, M and Mainstone, C.P. 2012. Accessibility of shad spawning rivers in Wales and England, 1998-2012. Supplementary information for Article 17 reporting.

Hatton-Ellis, T. 2018. Procedure for Estimating Population (including Favourable Reference Population) using 1km Square Resolution Records Data. Interagency freshwater group. (Unpublished).

Henderson, P., Pisces Conservation Ltd. pers. comm. Hinkley Point cooling water shad entrainment figures

Holdich, D.M., James, J., Jackson, C. & Peay, S. 2014. The North American signal crayfish, with particular reference to its success as an invasive species in Great Britain. Ethology, Ecology & Evolution, 26, 232-262.

Hillman, R. 2003. The distribution, biology and ecology of shad in South-West England. Environment Agency R&D technical report W1-047/TR

Hillman, R. J., I. G. Cowx, and J. P. Harvey. 2003. Monitoring Allis & Twaite. Report on the main results of the surveillance under article 11 for annex II, IV and V species (Annex B) Shad. Conserving Natura 2000 Rivers Monitoring Series 3. English Nature, Peterborough.

Hillman, R. J., I. G. Cowx, and J. P. Harvey. 2003. Monitoring Allis & Twaite Shad. Conserving Natura 2000 Rivers Monitoring Series 3. English Nature, Peterborough.

Hillman, R., Environment Agency. Pers. comm

Jolly, M, T., Aprahamian, M. W., Hawkins, S. J., Henderson, P. A., Hillman, R., O'Maoileidigh, N., Maitland. P. S., Piper, R. and Genner, M. J. 2012. Population genetic structure of protected allis shad (*Alosa alosa*) and twaite shad (*Alosa fallax*). Marine Biology.

Mainstone, C.P., Dils, R.M. and Withers, P.J.A. 2008. Controlling sediment and phosphorus transfer to receiving waters - A strategic management perspective for England and Wales. Journal of Hydrology, 350, 131-143.

Mainstone, C.P. and Holmes, N.T. 2010. Embedding a strategic approach to river

# Report on the main results of the surveillance under Article 11 for Annex II, IV and V species (Annex B)

restoration in operational management processes - experiences in England.

Aquatic Conservation: Marine and Freshwater Ecosystems. Published online in Wiley InterScience ([www.interscience.wiley.com](http://www.interscience.wiley.com)). DOI: 10.1002/aqc.1095

Mainstone, C., Hall, R. & Diack, I. 2016. A narrative for conserving freshwater and wetland habitats in England. Natural England Research Reports, Number 064.

Mainstone C.P. 2008. The role of specially designated wildlife sites in freshwater conservation - an English perspective. *Freshwater Reviews*, 1, 89-98.

Mainstone, C. & Burn, A. 2011. Relationships between ecological objectives and associated decision-making under the Habitats and Water Framework Directives. Discussion paper, Natural England.

Mainstone, C.P. & Wheeldon, J. 2016. The physical restoration of English rivers with special designations for wildlife: from concepts to strategic planning and implementation. *Freshwater Reviews*. 8. Pg. 1 - 25.

Mainstone, C.P. 2016. Developing a coherent narrative for conserving freshwater and wetland habitats: experiences in the UK. *WIREs Water*, published Online: Nov 07 2016. DOI: 10.1002/wat2.1189.

Mainstone, C.P. 2018. Article 17 Habitats Pro-forma England H3260 for UK aggregation. Natural England

Mainstone, C.P. 2018. Analysis of Water Framework Directive data for use in Habitats Directive Article 17 reporting on Annex I river habitat (H3260) in England. Supplementary paper for the submission package to Europe, Natural England.

Maitland, P.S. & Campbell, R.N. 1992. *Freshwater Fishes of the British Isles*. HarperCollins.

Maitland, P., and T. Hatton-Ellis. 2003. *Ecology of the Allis and Twaite Shad*. Life in UK Rivers Ecology Series No. 3. English Nature, Peterborough.

Maria, I., Bernardo, J.M. & Fernandes, S. 2007. Predation of invasive crayfish on aquatic vertebrates: the effect of *Procambarus clarkii* on fish assemblages in Mediterranean temporary streams. *Biological Invaders in Inland Waters*. Gherardi, F. (ed.)

Nachon, D.J., Mota, M., Antunes, C., Servia, M.J. & Cobo, F. 2015. Marine and continental distribution and dynamic of the early spawning migration of twaite shad (*Alosa fallax*) and allis shad (*Alosa alosa*) in the north-west of the Iberian Peninsula. *Marine and Freshwater Research*.

NBN Atlas occurrence download at <https://nbnatlas.org> accessed on Tue May 15 15:45:14 UTC 2018

Natural England. 2015. River restoration theme plan. Output from the EU Life project 'Improvement Programme for England's Natura 2000 Sites' (IPENS). Natural England Report number IPENSTP023.

Peay, S., Guthrie, N., Spees, J., Nilsson, E. & Bradley, P. 2009. The impact of signal crayfish (*Pacifastacus leniusculus*) on the recruitment of salmonid fish in a headwater stream in Yorkshire, England. *Knowledge and Management of Aquatic Ecosystems*.

Reynolds, J.D. 2011. A review of ecological interactions between crayfish and fish, indigenous and introduced. *Knowledge and Management of Aquatic Ecosystems*. 401, 10

Shad Monitoring Overview Report 2017. Heritage Lottery Fund - Unlocking the Severn development phase. LIFE15 NAT/UK/000291/HG-15-04573

Wheeldon, J. 2018. Progress report on the English river SSSI/SAC physical restoration programme. Paper to the river SSSI restoration project steering group.

Scotland

Aprahamian MW, Aprahamian CD, Bagliniere JL, Sabatie R & Alexandrino P 2003.

# Report on the main results of the surveillance under Article 11 for Annex II, IV and V species (Annex B)

Alosa alosa and Alosa fallax spp. Literature Review and Bibliography. Bristol, Environment Agency R&D Technical Report W1-014/TR, xxiii + 349pp.

SLR Consulting Ltd 2018. Allis and twaite shad in Scotland - information and data. Report to Scottish Natural Heritage

Davies, CE, Shelley, J, Harding, PT, Mclean, IFG, Gardiner, R & Peirson, G (Eds.) 2004. Freshwater Fishes in Britain: The species and their distribution. Colchester: Harley Books.

Hillman RJ, Cowx IG & Harvey JP 2003. Monitoring Allis and Twaite Shad. Conserving Natura 2000 Rivers Monitoring Series No.3. English Nature, Peterborough, 24pp

Lyle A & Maitland PS 1995. A Questionnaire Survey of Inshore Catches of Shad, Smelt and Sturgeon in Scotland. Scottish Natural Heritage Contract Report SNH/011D/93/AEB, 10pp.

Jolly MT, Aprahamian MW, Hawkins SJ, Henderson PA, Hillman R, O'Maoileidigh N, Maitland PS, Piper R & Genner MJ 2012. Population genetic structure of protected allis shad (*Alosa alosa*) and twaite shad (*Alosa fallax*). Marine Biology 159, 675-687.

Jolly MT, Maitland PS & Genner MJ 2011. Genetic monitoring of two decades of hybridization between allis shad (*Alosa alosa*) and twaite shad (*Alosa fallax*). Conservation Genetics 12, 1087-1100.

Maitland PS 1979. The freshwater fish fauna of the Forth area. The Forth Naturalist & Historian 4, 33-47.

Maitland PS 2007. Scotland's Freshwater Fish - Ecology, Conservation and Folklore, Oxford Trafford Publishing, 287-291

Maitland PS & Hatton-Ellis TW 2003. The Ecology of the Allis and Twaite Shad. Conserving Natura 2000 Rivers Ecology Series No.3. English Nature, Peterborough, 28pp

Maitland PS & Lyle AA 1995. Shad and Smelt in the Cree Estuary, S.W. Scotland. Scottish Natural Heritage Contract Report SNH/11A93AEB1 and SNH/11A93AEB2, 137pp

Maitland PS & Lyle AA 2001. Shad and Smelt in the Cree Estuary, South West Scotland. Scottish Natural Heritage Research, Survey and Monitoring Report No. 6, 139pp

Maitland, PS 1994. Fish. In: The Fresh Waters of Scotland: A National resource of International Significance. (eds. P.S. Maitland, P.J. Boon & D.S. McLusky), pp.191-208.

Maitland, PS 2004 Keys to the Freshwater Fish of Britain and Ireland with notes on their distribution and ecology . Freshwater Biological Association , Scientific Publication No. 62, 245pp.

Maitland PS & Lyle, AA 1990. Practical conservation of British fishes: current action on six declining species. Journal of Fish Biology (Suppl. A) 1, 25-54.

McColl D, Gregg L, Yeomans WE & McGillivray C 2012. Bullhead and shad in Scotland. Report to Scottish Natural Heritage

Biological Records Centre (dp77) - Records provided by Biological Records Centre, accessed through NBN Atlas website.

Database for the Atlas of Freshwater Fishes (dr741) - Records provided by Database for the Atlas of Freshwater Fishes, accessed through NBN Atlas website.

National Biodiversity Network 2018. Allis shad records to 2018. Accessed May 2018. <https://species.nbnatlas.org/species/NBNSYS0000188601> records

Galloway Fisheiries Trust (Records of Allis shad within the Solway catchment 2004-2018)

Wales

# Report on the main results of the surveillance under Article 11 for Annex II, IV and V species (Annex B)

- Alexandrino P, Faria R, Linhares D, Castro F, Le Corre M, Sabatie R, Bagliniere J-L, Weiss S. 2007. Interspecific differentiation and intraspecific substructure in two closely related clupeids with extensive hybridisation, *Alosa alosa* and *Alosa fallax*. *Journal of Fish Biology* 69 (Supplement B): 242-259.
- Aprahamian MW, Lester SM, Aprahamian CD. 1999. Shad Conservation in England and Wales. Environment Agency R & D Technical Report W110. Environment Agency, Bristol.
- Aprahamian MW, Bagliniere J-L, Sabatie R, Alexandrino P, Aprahamian CD. 2002. *Alosa alosa* and *Alosa fallax* spp.: Literature Review and Bibliography. R&D Technical Report W1-014/TR. Environment Agency, Swindon.
- Aprahamian MW, Aprahamian CD, Knights AM. (2010) Climate change and the green energy paradox: the consequences for twaite shad *Alosa fallax* from the River Severn, U.K. *Journal of Fish Biology*, 77, 1912-1930.
- Atkins Ltd. 2004. Assessment of Obstructions to Shad Migration on the River Usk. CCW RoC Report No. 16.
- Caswell, P. A., and M. W. Aprahamian. 2001. Use of River Habitat Survey to determine the spawning habitat characteristics of Twaite Shad (*Alosa fallax fallax*). *Bulletin Francais de la Peche et de la Pisciculture* 362/363: 919-929.
- Crundwell C. 2018. Twaite shad (*Alosa fallax*) data provided to Natural England and Natural Resources Wales for the purpose of undertaking the Article 17 assessment for the Severn Estuary Special Area of Conservation under the Habitats Directive. Unpublished note from the Unlocking the Severn Project.
- Faria R, Pinheiro AN, Gabaldon T, Weiss S, Alexandrino P. 2011. Molecular tools for species discrimination and detection of hybridization between two closely related clupeid fishes *Alosa alosa* and *A. fallax*. *Journal of Applied Ichthyology* 27:16-20.
- Garrett, HM, 2015. Afon Tywi SAC shad spawning assessment 2015 (*Alosa alosa* & *Alosa fallax*), incorporating classification of 2013 and 2014 survey data. NRW Evidence report no 87. 29pp, Natural Resources Wales, Bangor.
- Garrett, HM. 2017a. River Usk SAC Allis & Twaite shad population condition assessment. Reporting cycle 2013 to 2018. 23 pp. Natural Resources Wales. Dolgellau.
- Garrett, HM. 2017b. River Wye SAC Allis & Twaite shad population condition assessment. Reporting cycle 2013 to 2018. 23 pp. Natural Resources Wales. Dolgellau.
- Hardouin EA, Stuart S, Andreou D. 2013. Monitoring Allis and Twaite Shad: quality assurance and species identification using molecular techniques. NRW Evidence Report No: 1, 41pp, Natural Resources Wales, Bangor.
- Henderson PA. 2003. Background information on species of shad and lamprey. CCW Marine Monitoring Report No: 7 ; 30pp. Bangor: Countryside Council for Wales.
- Hillman RJ, Cowx IG, Harvey JP. 2003. Monitoring Allis & Twaite Shad. *Conserving Natura 2000 Rivers Monitoring Series* 3. English Nature, Peterborough.
- Interagency Freshwater Group (IAFG). 2018. Procedure for Estimating Population (including Favourable Reference Population) using 1km Square Resolution Records Data.
- Joint Nature Conservation Committee (JNCC). 2007. Second Report by the UK under Article 17 on the implementation of the Habitats Directive from January 2001 to December 2006. Peterborough: JNCC. Available from: [www.jncc.gov.uk/article17](http://www.jncc.gov.uk/article17)
- Knights AM. 2014. Modelling the response of the twaite shad (*Alosa fallax*) population in the Afon Tywi SAC to a modified temperature regime. Bangor, Natural Resources Wales. NRW Evidence Report No. 6.



# Report on the main results of the surveillance under Article 11 for Annex II, IV and V species (Annex B)

Maitland P, Hatton-Ellis T. 2003. Ecology of the Allis and Twaite Shad. Conserving Natura 2000 Rivers Ecology Series 3. Peterborough, English Nature.

Natural Resources Wales (unpublished) shad monitoring data 2013-2017. Dataset stored on the DMS.

Natural Resources Wales, 2018a. Carmarthen Bay and Estuaries / Bae Caerfyrddin ac Aberoedd Special Area of Conservation: Indicative site level feature condition assessments 2018. NRW Evidence Report Series, Report No: 225, 49pp, NRW, Bangor.

Natural Resources Wales 2018b. Severn Estuary / Mor Hafren Special Area of Conservation: Indicative site level feature condition assessments 2018. NRW Evidence Report Series, Report No: 235, 41pp, NRW, Bangor.

Natural Resources Wales, 2018c. Pembrokeshire Marine / Sir Benfro Forol Special Area of Conservation: Indicative site level feature condition assessments 2018. NRW Evidence Report Series, Report No: 233, 67pp, NRW, Bangor.

Phillips MB, Bonner TH. 2015. Occurrence and amount of microplastic ingested by fishes in watersheds of the Gulf of Mexico. Marine Pollution Bulletin, 100: 264-269.

Severn Rivers Trust. 2018. Unlocking the Severn.  
<http://severnrivertrust.com/projects/unlocking-the-severn/> Accessed 12th July 2018.

Stone, D.M. (2015). Monitoring Allis and Twaite Shad: quality assurance and species identification using molecular techniques. NRW Evidence Report 53. Bangor, Natural Resources Wales.

Thomas Rh, Dyson C. 2011. River Usk shad egg survey 2010. CCW staff science report no. 10/8/1. Countryside Council for Wales, Bangor.

Thomas Rh, Dyson C. 2012a. River Wye Shad Egg Survey 2011. CCW Staff Science Report No. 11/8/4. Countryside Council for Wales, Bangor.

Thomas Rh, Dyson C. 2012b. River Usk Shad Egg Survey 2011. CCW Staff Science Report 11/8/3. Countryside Council for Wales, Bangor.

WFD waterbody classifications (2015). 2009-2015 Classification Data:  
<http://waterwatchwales.naturalresourceswales.gov.uk/en/>

## 5. Range

5.1 Surface area (km <sup>2</sup> )	17366.97	
5.2 Short-term trend Period	2007-2018	
5.3 Short-term trend Direction	Stable (0)	
5.4 Short-term trend Magnitude	a) Minimum	b) Maximum
5.5 Short-term trend Method used	Based mainly on extrapolation from a limited amount of data	
5.6 Long-term trend Period		
5.7 Long-term trend Direction		
5.8 Long-term trend Magnitude	a) Minimum	b) Maximum
5.9 Long-term trend Method used		
5.10 Favourable reference range	a) Area (km <sup>2</sup> ) b) Operator c) Unknown d) Method	More than (>)  The FRP is the same as in 2013 and is no more than 25% above the current population. An FRP operator has been used because it had not been possible to calculate the exact FRP value. See the 2019 Article 17 UK Approach

# Report on the main results of the surveillance under Article 11 for Annex II, IV and V species (Annex B)

document for further information.

## 5.11 Change and reason for change in surface area of range

Improved knowledge/more accurate data  
Use of different method

The change is mainly due to: Improved knowledge/more accurate data

## 5.12 Additional information

The current Range surface area calculation does not represent the real range surface area, which is considered to be the range in 2013 - 24738km<sup>2</sup>. Change in availability of underpinning mapping data compared to 2013 has resulted in an apparent decrease in range area, but this is not genuine change. Expert opinion considers the trend to be stable. For further information see the 2019 Article 17 UK Approach document.

## 6. Population

### 6.1 Year or period

1990-2018

### 6.2 Population size (in reporting unit)

a) Unit number of map 1x1 km grid cells (grids1x1)  
b) Minimum  
c) Maximum  
d) Best single value 162

### 6.3 Type of estimate

Minimum

### 6.4 Additional population size (using population unit other than reporting unit)

a) Unit  
b) Minimum  
c) Maximum  
d) Best single value

### 6.5 Type of estimate

### 6.6 Population size Method used

Based mainly on extrapolation from a limited amount of data

### 6.7 Short-term trend Period

2006-2018

### 6.8 Short-term trend Direction

Uncertain (u)

### 6.9 Short-term trend Magnitude

a) Minimum  
b) Maximum  
c) Confidence interval

### 6.10 Short-term trend Method used

Insufficient or no data available

### 6.11 Long-term trend Period

### 6.12 Long-term trend Direction

### 6.13 Long-term trend Magnitude

a) Minimum  
b) Maximum  
c) Confidence interval

### 6.14 Long-term trend Method used

### 6.15 Favourable reference population (using the unit in 6.2 or 6.4)

a) Population size  
b) Operator More than (>)  
c) Unknown

# Report on the main results of the surveillance under Article 11 for Annex II, IV and V species (Annex B)

## d) Method

The FRP has changed since 2013. The FRP is no more than 25% above the current population. An FRP operator has been used because it had not been possible to calculate the exact FRP value. See the 2019 Article 17 UK Approach document for further information.

### 6.16 Change and reason for change in population size

Improved knowledge/more accurate data

The change is mainly due to: Improved knowledge/more accurate data

### 6.17 Additional information

## 7. Habitat for the species

### 7.1 Sufficiency of area and quality of occupied habitat

a) Are area and quality of occupied habitat sufficient (for long-term survival)? No

b) Is there a sufficiently large area of unoccupied habitat of suitable quality (for long-term survival)? No

### 7.2 Sufficiency of area and quality of occupied habitat Method used

Based mainly on extrapolation from a limited amount of data

### 7.3 Short-term trend Period

2005-2018

### 7.4 Short-term trend Direction

Stable (0)

### 7.5 Short-term trend Method used

Based mainly on expert opinion with very limited data

### 7.6 Long-term trend Period

### 7.7 Long-term trend Direction

### 7.8 Long-term trend Method used

### 7.9 Additional information

Shads use multiple habitats at different stages of their life history, all of which are critical to survival. The most important factor is that all habitat types are accessible and of at least adequate quality. Poor water quality and physical barriers to spawning sites (such as weirs) continue to limit habitat sufficiency.

## 8. Main pressures and threats

### 8.1 Characterisation of pressures/threats

Pressure	Ranking
Hydropower (dams, weirs, run-off-the-river), including infrastructure (D02)	M
Marine fish and shellfish harvesting (professional, recreational) activities causing physical loss and disturbance of seafloor habitats (G03)	M
Invasive alien species of Union concern (I01)	M
Mixed source pollution to surface and ground waters (limnic and terrestrial) (J01)	H
Modification of hydrological flow (K04)	H
Physical alteration of water bodies (K05)	H

# Report on the main results of the surveillance under Article 11 for Annex II, IV and V species (Annex B)

Threat	Ranking
Wind, wave and tidal power, including infrastructure (D01)	H
Hydropower (dams, weirs, run-off-the-river), including infrastructure (D02)	H
Abstraction of surface and ground water for energy production (excluding hydropower) (D13)	M
Marine fish and shellfish harvesting (professional, recreational) activities causing physical loss and disturbance of seafloor habitats (G03)	M
Invasive alien species of Union concern (I01)	M
Mixed source pollution to surface and ground waters (limnic and terrestrial) (J01)	H
Modification of hydrological flow (K04)	H
Physical alteration of water bodies (K05)	H
Other climate related changes in abiotic conditions (N09)	M

## 8.2 Sources of information

## 8.3 Additional information

## 9. Conservation measures

### 9.1 Status of measures

a) Are measures needed?	Yes
b) Indicate the status of measures	Measures identified and taken

### 9.2 Main purpose of the measures taken

Expand the current range of the species (related to 'Range')

### 9.3 Location of the measures taken

Both inside and outside Natura 2000

### 9.4 Response to the measures

Medium-term results (within the next two reporting periods, 2019-2030)

### 9.5 List of main conservation measures

Adapt/manage renewable energy installation, facilities and operation (CC03)
Reduce impact of hydropower operation and infrastructure (CC04)
Management of professional/commercial fishing (including shellfish and seaweed harvesting) (CG01)
Management of hunting, recreational fishing and recreational or commercial harvesting or collection of plants (CG02)
Reduce impact of mixed source pollution (CJ01)
Reduce impact of multi-purpose hydrological changes (CJ02)
Restore habitats impacted by multi-purpose hydrological changes (CJ03)
Other measures related to mixed source pollution and multi-purpose human-induced changes in hydraulic conditions (CJ04)
Adopt climate change mitigation measures (CN01)

### 9.6 Additional information

## 10. Future prospects

# Report on the main results of the surveillance under Article 11 for Annex II, IV and V species (Annex B)

## 10.1 Future prospects of parameters

a) Range	Poor
b) Population	Poor
c) Habitat of the species	Poor

## 10.2 Additional information

Future trend of Range is Positive - increasing  $\leq 1\%$  (one percent or less) per year on average; Future trend of Population is Positive - increasing  $\leq 1\%$  (one percent or less) per year on average; and Future trend of Habitat for the species is Positive- slight/moderate improvement. For further information on how future trends inform the Future Prospects conclusion see the 2019 Article 17 UK Approach document.

## 11. Conclusions

### 11.1. Range

Unfavourable - Inadequate (U1)

### 11.2. Population

Unfavourable - Inadequate (U1)

### 11.3. Habitat for the species

Unfavourable - Inadequate (U1)

### 11.4. Future prospects

Unfavourable - Inadequate (U1)

### 11.5 Overall assessment of Conservation Status

Unfavourable - Inadequate (U1)

### 11.6 Overall trend in Conservation Status

Stable (=)

### 11.7 Change and reasons for change in conservation status and conservation status trend

#### a) Overall assessment of conservation status

Improved knowledge/more accurate data

The change is mainly due to: Improved knowledge/more accurate data

#### b) Overall trend in conservation status

No change

The change is mainly due to:

### 11.8 Additional information

Conclusion on Range reached because: (i) the short-term trend direction in Range surface area is stable; and (ii) the current Range surface area is not more than 10% below the Favourable Reference Range.

Conclusion on Population reached because: (i) the short-term trend direction in Population size is uncertain; and (ii) the current Population size is not more than 25% below the Favourable Reference Population.

Conclusion on Habitat for the species reached because: (i) the area of occupied and unoccupied habitat is not sufficiently large and (ii) the habitat quality is not adequate for the long-term survival of the species; and (iii) the short-term trend in area of habitat is stable.

Conclusion on Future prospects reached because: (i) the Future prospects for Range are poor; (ii) the Future prospects for Population are poor; and (iii) the Future prospects for Habitat for the species are poor.

Overall assessment of Conservation Status is Unfavourable-inadequate because all the conclusions are Unfavourable-inadequate.

Overall trend in Conservation Status is based on the combination of the short-

# Report on the main results of the surveillance under Article 11 for Annex II, IV and V species (Annex B)

term trends for Range – stable, Population – uncertain, and Habitat for the species – stable.

Overall assessment of Conservation Status has changed between 2013 and 2019 because the conclusion for Population has changed from Unfavourable-bad to Unfavourable-inadequate, the conclusion for Habitat for the species has changed from Unknown to Unfavourable-inadequate and the conclusion for Future Prospects has changed from Unknown to Unfavourable-inadequate.

Overall trend in Conservation Status has not changed since 2013.

## 12. Natura 2000 (pSCIs, SCIs and SACs) coverage for Annex II species

12.1 Population size inside the pSCIs, SCIs and SACs network (on the biogeographical/marine level including all sites where the species is present)

a) Unit                      number of map 1x1 km grid cells (grids1x1)  
b) Minimum  
c) Maximum  
d) Best single value    68

12.2 Type of estimate

Minimum

12.3 Population size inside the network Method used

Based mainly on extrapolation from a limited amount of data

12.4 Short-term trend of population size within the network Direction

Stable (0)

12.5 Short-term trend of population size within the network Method used

Based mainly on extrapolation from a limited amount of data

12.6 Additional information

## 13. Complementary information

13.1 Justification of % thresholds for trends

13.2 Trans-boundary assessment

13.3 Other relevant Information

## Distribution Map

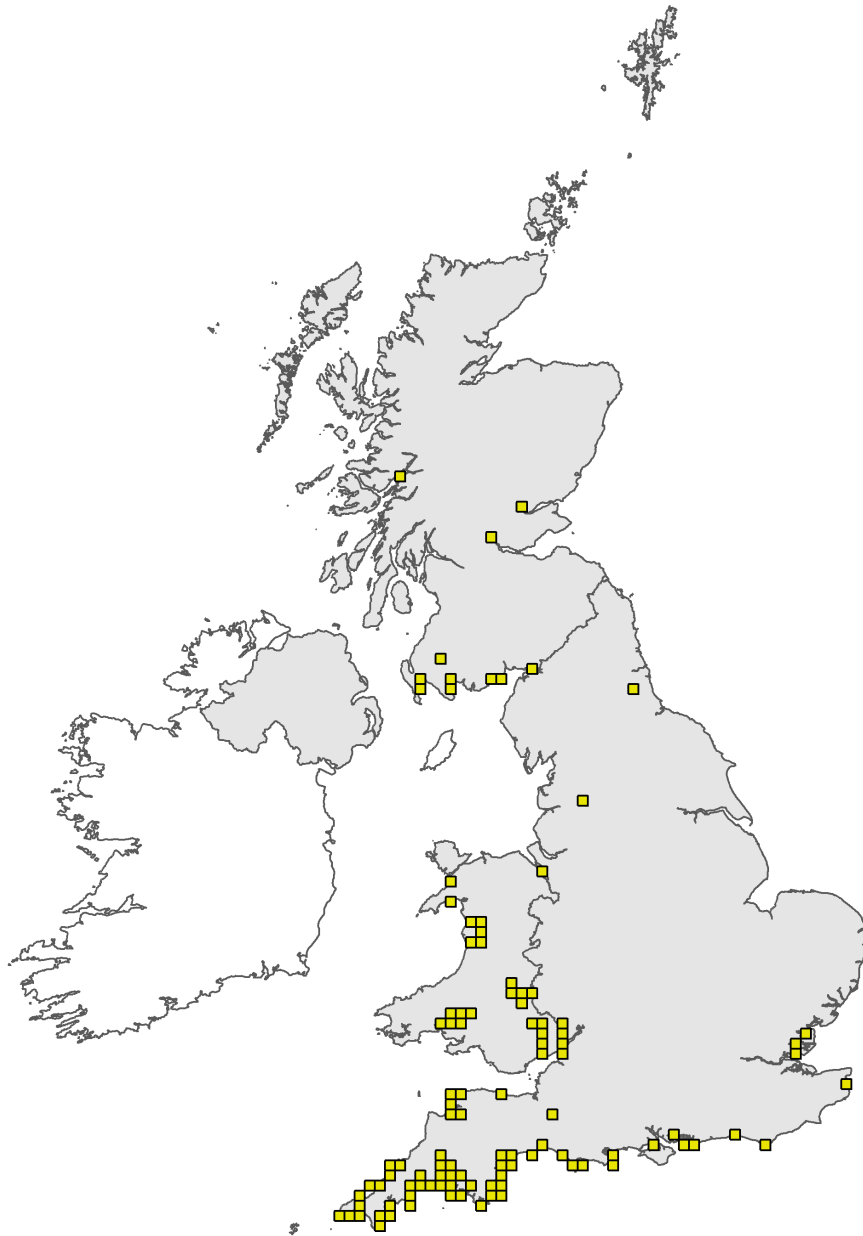


Figure 1: UK distribution map for S1102 - Allis shad (*Alosa alosa*). Coastline boundary derived from the Oil and Gas Authority's OGA and Lloyd's Register SNS Regional Geological Maps (Open Source). Open Government Licence v3 (OGL). Contains data © 2017 Oil and Gas Authority.

The 10km grid square distribution map is based on available species records within the current reporting period. For further details see the 2019 Article 17 UK Approach document.

## Range Map

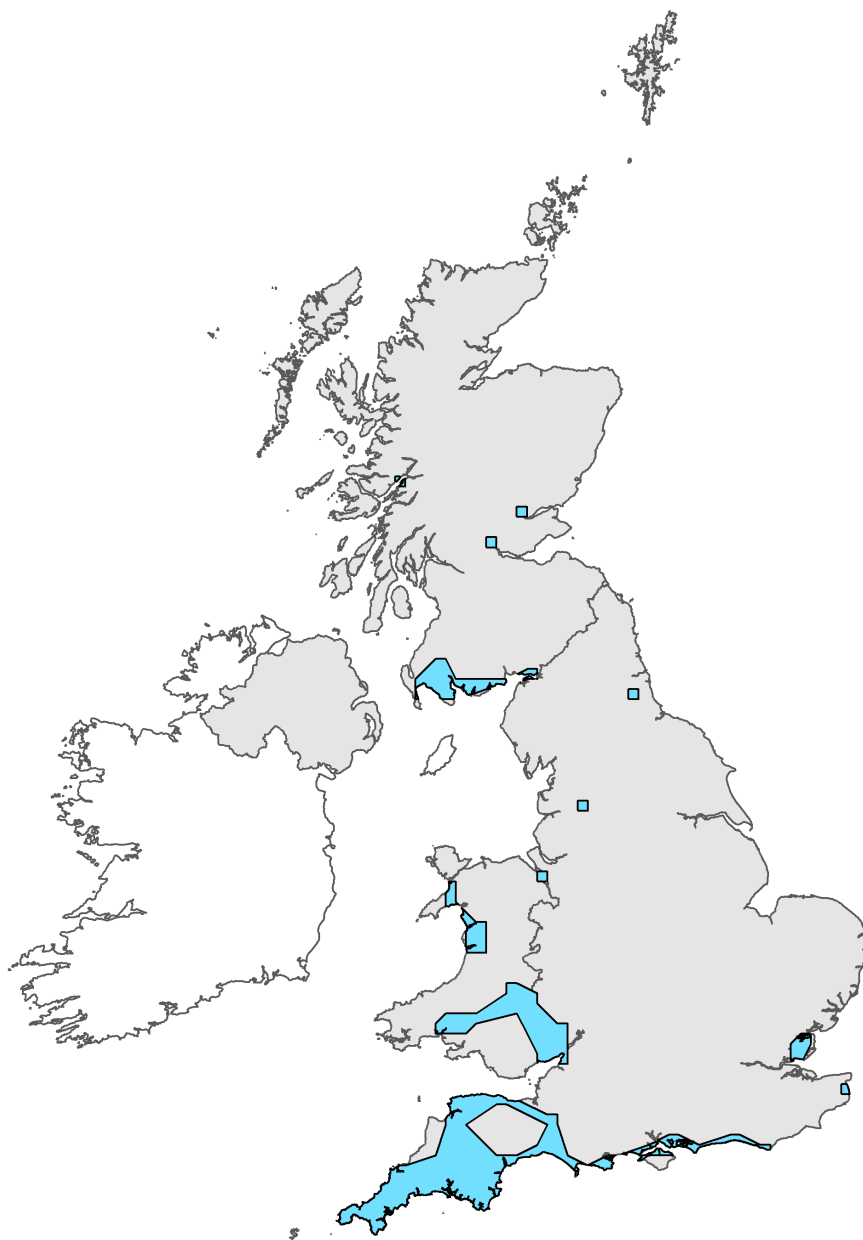


Figure 2: UK range map for S1102 - Allis shad (*Alosa alosa*). Coastline boundary derived from the Oil and Gas Authority's OGA and Lloyd's Register SNS Regional Geological Maps (Open Source). Open Government Licence v3 (OGL). Contains data © 2017 Oil and Gas Authority.

The range map has been produced by applying a bespoke range mapping tool for Article 17 reporting (produced by JNCC) to the 10km grid square distribution map presented in Figure 1. The alpha value for this species was 25km. For further details see the 2019 Article 17 UK Approach document.